

PATENT

-5-

Sheet 1 of 2

FORM PTO-1449 (Modified) (Rev. 7-80)		U.S Dept. of Commerce Patent and Trademark Office	Atty Docket No. AKC-22900 (R0171)	Appln. No. NEW
<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)		Applicant(s) ALBERT K. CHIN		
		Filing Date TBA	Group TBA	



## U.S. PATENT DOCUMENTS

*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
TPB	AA	5,482,925	01/09/96	HUTSELL	514	11	03/17/94
	AB	5,634,895	06/03/97	IGO, ET AL.	604	21	06/07/95
	AC	5,650,447	07/22/97	KEEPER, ET AL.	514	772.4	03/17/94
	AD	5,681,278	10/28/97	IGO, ET AL.	604	52	06/23/94
	AE	5,725,492	03/10/98	IGO, ET AL.	604	4	03/03/96
	AF	5,827,216	10/27/98	IGO, ET AL.	604	21	06/07/95
	AG	5,900,433	05/04/99	IGO, ET AL.	514	530	06/23/95
	AH	5,931,810	08/03/99	GRABEK	604	51	12/05/96
	AI	5,957,880	09/28/99	IGO, ET AL.	604	4	02/23/98
TPB	AJ	5,972,013	10/26/99	SCHMIDT	606	185	09/19/97
TPB	AK	6,077,218	06/20/00	ALPERNESS	600	37	09/23/97

## FOREIGN PATENT DOCUMENTS

*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES	NO
TPB	AL	WO 96/00038	01/04/96	PCT	A61B	17/36	X	
	AM	WO 98/24378	06/11/98	PCT	A61B	17/34	X	
	AN	WO 98/24488 A2	06/11/98	PCT	A61M		X	
	AO	WO 98/24488 A3	06/11/98	PCT	A61B	19/00	X	
TPB	AP	WO 99/13785	03/25/99	PCT	A61B	17/34	X	
TPB	AQ	WO 99/13936	03/25/99	PCT	A61M	31/00	X	

## OTHER DOCUMENTS

Examiner 	Date Considered 12/2/02
--------------	----------------------------

\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PATENT

-6-

Sheet 1 of 1

FORM PTO-1449 (Modified) (Rev. 7-80)				U.S Dept. of Commerce Patent and Trademark Office	Atty Docket No. AKC-22900 (R0171)	Appln. No. 09/635,345	
<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)				Applicant(s) ALBERT K. CHIN			
				Filing Date August 9, 2000	Group 3739		
<b>U.S. PATENT DOCUMENTS</b>							
*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
AB	AA	5,256,132	10/26/93	Snyders	600	16	08/17/92
	AB						
<b>FOREIGN PATENT DOCUMENTS</b>							
*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES NO
	AC						
<b>OTHER DOCUMENTS</b>							
	AD						
Examiner	<i>Tyan Smith</i>			Date Considered <i>12/2/02</i>			

\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



-5-

PATENT

Sheet 1 of 1

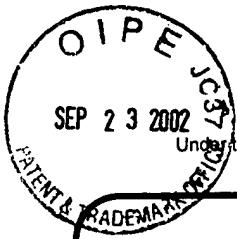
FORM PTO-1449 (Modified) (Rev. 7-80)				U.S. Dept. of Commerce Patent and Trademark Office	Atty Docket No. AKC-22900 (R0171)	Appln. No. 09/635,345	
<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)				Applicant(s) ALBERT K. CHIN			
				Filing Date August 9, 2000	Group 3739		
<b>U.S. PATENT DOCUMENTS</b>							
*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
<i>RB</i>	AA	5,702,343	12/30/97	ALFERNESS	600	37	10/02/96
<i>1</i>	AB	6,085,754	07/11/00	ALFERNESS ET AL.	128	898	07/13/98
<i>AS</i>	AC	6,095,968	08/01/00	SNYDERS	600	16	04/08/99
<i>RB</i>	AD	6,162,195	12/19/00	IGO ET AL.	604	164	09/21/98
<b>FOREIGN PATENT DOCUMENTS</b>							
*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES NO
	AE						
<b>OTHER DOCUMENTS</b>							
	AF						
Examiner <i>Yann Saut</i>				Date Considered <i>12/2/02</i>			

\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MAR 16 2001  
TC 3700 MAIL ROOM

RECEIVED

FORM PTO-1449 (Modified) (Rev. 7-80)			U.S. Dept. of Commerce Patent and Trademark Office		Atty Docket No. AKC-22900 (R0171)	Appln. No. NEW	
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)			Applicant(s) ALBERT K. CHIN				
			Filing Date TBA		Group TBA		
U.S. PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES NO
OTHER DOCUMENTS							
<i>TB</i>	AR	Kirklin, JW, et al., "Morphology, Diagnostic Criteria, Natural History, Techniques, Results and Indications" Cardiac Surgery, 2:1695 Ch. 52 Pericardial Disease (1993)					
	AS	Sabiston DC Jr., et al., Atlas of Cardiothoracic Surgery, "41 Pericardial Window", 1st Ed. pp. 235-237 (1995)					
	AT	Grandjean JG, et al., "Coronary Reoperation via Small Laparotomy Using Right Gastroepiploic Artery Without CPB", Society of Thoracic Surgeons 61:1853-5 (1996)					
	AU	Benetti FJ, et al., "Video Assisted Coronary Bypass Surgery", J. Card Surg 10:620-625 (1995)					
	AV	Bartoccioni S., et al., "Laparaoscopic Harvesting of Right Gastroepiploic Artery for Coronary Artery Bypass Graft Performed Without Sternotomy" European Assoc. of Card. Surg. (abstract) 201 EACTS Abstracts (1998)					
	AW	A New Approach: Access the Pericardial Space with the PerDUCER Pericardial Access Device, CoMEDICUS Incorporated					
	AX	Spodick, D.H., et al., "Clinical Update: IPTD: Intrapericardial Therapeutics and Diagnostics: The PerDUCER Permits Direct Access to the Heart" Cath-Lab Digest 7:(9):12 (Sept. 1999)					
	AY	Simonsen, M., Ph.D., "Researchers undaunted by setbacks in the angiogenesis sector", Cardiovascular Device Update 5:(5) (May 1999)					
	AZ	Diane Kaminski, Medical Industry Today, Device and Diagnostics, Medical Data International, Inc. pg. 1-2 (9/23/98)					
	BA	Spodick, D. H., "Directly Applied Cardiac Therapy: Experts Explore Potential Benefits", Internal Medicine World Report, 3:(11) (1998)					
	BB	For Immediate Release: Comedicus Gets Approval to Sell Product in European Union, COMEDICUS INCORPORATED, (3/1/99)					
<i>VB</i>	BC	COMEDICUS INCORPORATED UPDATE - October 1999					
<i>VB</i>	BD	The 4th International Symposium on Intrapericardial Therapeutics and Diagnostics (IPTD) (3/6/99)					
Examiner 				Date Considered <i>12/2/02</i>			
* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



SEP 23 2002

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Do not file a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

<i><b>Complete if Known</b></i>	
<b>Application No.</b>	09/635,345
<b>Filing Date</b>	August 9, 2000
<b>First Named Inventor</b>	Albert K. Chin
<b>Art Unit</b>	3738
<b>Examiner Name</b>	Thomas C. Barrett
<b>Attorney Docket Number</b>	80121-07179

~~RECEIVED~~

SEP 25 2002

TECHNOLOGY CENTER R3700

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
(13)	A	US-6,085,754	07-11-2000	Alfernness et al.
	B	US-6,080,174	06-27-2000	Dubrul et al.
	C	US-5,972,010	10-26-1999	Taheri
	D	US-5,957,835	09-28-1999	Anderson et al.
	E	US-5,707,390	01-13-1998	Bonutti
	F	US-5,613,937	03-25-1997	Garrison et al.
	G	US-5,391,156	02-21-1995	Hildwein et al.
	H	US-5,339,801	08-23-1994	Poloyko et al.
	I	US-5,071,428	12-10-1991	Chin et al.
	J	US-702,789	06-17-1902	Gibson

## **FOREIGN PATENT DOCUMENTS**

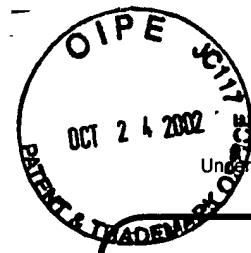
Examiner Signature		Date Considered	12/2/02
-----------------------	---	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

80121/07179/DOCS/1297353.1



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Substitute for form 1449A/PTC**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

<b>Complete if Known</b>	
<b>Application No.</b>	09/635,345
<b>Filing Date</b>	August 9, 2000
<b>First Named Inventor</b>	Albert K. Chin
Art Unit	3738
Examiner Name	Thomas C. Barrett
Attorney Docket Number	80121-07179

Examiner Signature		Date Considered	12/2/02 OCT 29 2002
--------------------	---	-----------------	---------------------

TECHNOLOGY CENTER R3700

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609

**Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.